

FACTS

WHAT DOES Capital Resource Management, Inc. DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and name ■ address and employment information ■ assets and income
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Capital Resource Management, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Capital Resource Management, Inc. share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	Yes
For our marketing purposes— to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes— information about your creditworthiness	No	We do not share
For nonaffiliates to market to you	No	We do not share

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To limit our sharing	<ul style="list-style-type: none"> ■ Call 847-948-1700 —our menu will prompt you through your choice(s) or ■ Visit us online: www.crminvest.com <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 847-948-1700 or go to www.crminvest.com
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Who we are

Who is providing this notice?

Capital Resource Management, Inc.

What we do

Capital Resource Management, Inc.
How does Inc. protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Capital Resource Management, Inc.
How does Inc. collect my personal information?

We collect your personal information, for example, when you

- open an account or enter into an investment advisory agreement
- tell us about your investments or retirement portfolio
- add funds

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- None

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Custodians or trustees such as State Street Bank & Trust
Registered broker dealers, such as State Street Global Markets
Registered Investment Advisors, including third party managers

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- None

Other important information

State Laws: NV: We are providing you this notice pursuant to Nevada law. If you prefer not to receive marketing calls from us, you may be placed on our Internal Do Not Call List by calling 1-847-948-1700, or by writing to us at 770 Lake Cook Road, Suite 370, Deerfield, IL 60015.

CA: Accounts with a California mailing address are automatically treated as if they have limited the sharing with non affiliates as described on page 1.